

Ethics and Governance in Finance (F000788)

Course size (nominal values; actual values may depend on programme)
Credits 3.0 Study time 90 h Contact hrs 30.0 h

Course offerings and teaching methods in academic year 2018-2019

A (semester 2)	English	lecture	5.0 h
		self-reliant study activities	5.0 h
		group work	10.0 h
		microteaching	5.0 h
		guided self-study	5.0 h

Lecturers in academic year 2018-2019

Vander Venet, Rudi EB21 lecturer-in-charge

Offered in the following programmes in 2018-2019

Master of Science in Banking and Finance	crdts	offering
	3	A

Teaching languages

English

Keywords

Ethical behavior, corporate governance, ethical investing.

Position of the course

This course is intended to explicitly address the ethical issues of banking and finance. As such it is complementary to the banking and finance courses in the MBF program.

Contents

Governance of financial institutions (role of ownership, boards and remuneration policies). Regulation of financial institutions and financial markets (e.g. Mifid). International standards, codes of conduct and consumer protection. Corporate governance of financial firms. Ethical investing and the performance of ethical funds. Corporate social responsibility of banks. Compensation practices and ethical behavior. Various guest lectures by professionals in the field. Students get short assignments as well as a group case.

Initial competences

Theory and empirics of banking and finance, management of financial institutions. Access to this course is restricted to those students who successfully passed the three admission tests of the MBF program.

Final competences

- 1 Critically assess the impact of ownership patterns, governance structures and remuneration policies on the risk profile of banks.
- 2 Understand and apply standards of ethical behavior.
- 3 Analyse the ethical behavior of financial institutions.
- 4 Critically analyze alternative governance structures in banks.

- 5 Develop insight in the consequences of different governance structures in financial institutions
- 6 Assess ethical investment practices.
- 7 Critically reflect on own ethical behavior

Conditions for credit contract

Access to this course unit via a credit contract is determined after successful competences assessment

Conditions for exam contract

This course unit cannot be taken via an exam contract

Teaching methods

Guided self-study, group work, lecture, microteaching, self-reliant study activities

Extra information on the teaching methods

See course site

Learning materials and price

Scientific papers and policy reports.

References

See course website

Course content-related study coaching

By the professor.

Evaluation methods

continuous assessment

Examination methods in case of periodic evaluation during the first examination period

Examination methods in case of periodic evaluation during the second examination period

Participation, assignment, report

Examination methods in case of permanent evaluation

Participation, assignment, report

Possibilities of retake in case of permanent evaluation

examination during the second examination period is possible in modified form

Extra information on the examination methods

Papers and presentations are assessed based on an evaluation form.

Calculation of the examination mark

Papers and presentations are assessed based on an evaluation form

Facilities for Working Students

To be determined